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ALLIED HEALTH PROFESSIONS EDUCATIONAL IMPROVEMENT GRANTS.

PUBLIC HEALTH SERVICE (DHEW), ARLINGTON, VA.

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THE ALLIED HEALTH PROFESSIONS PERSONNEL ACT OF 1966 AUTHORIZES THE SURGEON GENERAL TO MAKE GRANTS TO EDUCATIONAL INSTITUTIONS FOR THE PURPOSE OF IMPROVING PROGRAMS WHICH QUALIFY STUDENTS (1) FOR THE BACCALAUREATE DEGREE OR ITS EQUIVALENT OR THE MASTER'S DEGREE TO THE EXTENT REQUIRED FOR BASIC PROFESSIONAL CERTIFICATION, REGISTRATION, OR LICENSURE AS MEDICAL TECHNOLOGIST, OPTOMETRIC TECHNOLOGIST, DENTAL HYGIENIST, RADIOLOGIC TECHNOLOGIST, MEDICAL RECORDS LIBRARIAN, DIETICIAN, OCCUPATIONAL THERAPIST, OR PHYSICAL THERAPIST, AND (2) FOR THE ASSOCIATE DEGREE OR ITS EQUIVALENT AND FOR EMPLOYMENT AS X-RAY TECHNICIANS, MEDICAL RECORDS TECHNICIAN, INHALATION THERAPY TECHNICIAN, DENTAL LABORATORY TECHNICIAN, DENTAL HYGIENIST, DENTAL ASSISTANT, OPHTHALMIC ASSISTANT, OCCUPATIONAL THERAPY ASSISTANT, OR FOOD SERVICE ASSISTANT. TERMS OF ELIGIBILITY, GRANT AMOUNT AND DURATION, AND APPLICATION AND AWARD PROCEDURES ARE EXPLAINED. A SAMPLE APPLICATION FORM IS ACCOMPANIED BY SPECIFIC INSTRUCTIONS. REGULATIONS PERTAINING TO GRANTS, THOUGH NOT YET OFFICIAL, ARE PRESENTED IN THE FORM IN WHICH THEY ARE EXPECTED TO BE PUBLISHED. (JK)

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# ALLIED HEALTH PROFESSIONS EDUCATIONAL IMPROVEMENT GRANTS

U.S. DEPARTMENT OF HEALTH,
EDUCATION, AND WELFARE
Public Health Service

# ALLIED HEALTH PROFESSIONS EDUCATIONAL IMPROVEMENT GRANTS

DISCRIMINATION PROHIBITED - Title VI of the Civil Rights Act of 1964 states: "No person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance." Therefore, the Allied Health Professions Educational Improvement Grants, like every program or activity receiving financial assistance from the Department of Health, Education, and Welfare, must be operated in compliance with this law.

November, 1966
U. S. DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE
Public Health Service
Division of Health Manpower Educational Services
Arlington, Virginia 22203

NOTE: This booklet consists of four separate documents which are stapled together for convenient retention. The pages of each document are numbered serially beginning with page 1.

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ALLIED HEALTH PROFESSIONS EDUCATIONAL IMPROVEMENT GRANTS

TERMS AND CONDITIONS

## Basic Improvement Grants

The Allied Health Professions Personnel Training Act of 1966 authorizes the Surgeon General of the Public Health Service to make basic improvement grants to junior colleges, colleges, and universities for the purpose of increasing the quality of educational programs for allied health personnel. These grants may be used to improve educational programs [1/] which qualify students for the baccalaureate degree or its equivalent or masters degree to the extent required to prepare graduates for basic professional certification, registration or licensure as one of the following:

(1) Medical Technologist

(2) Optometric Technologist

(3) Dental Hygienist

(4) Radiologic Technologist

(5) Medical Records Librarian

(6) Dietitian

(7) Occupational Therapist

(8) Physical Therapist

These grants may also be used to improve educational programs [1/] in junior colleges, colleges and universities which qualify students for the associate degree or its equivalent and for employment as one of the following:

(1) X-ray Technician

(2) Medical Records Technician

(3) Inhalation Therapy Technician

1/ Throughout the remainder of this document, the phrase "educational program(s)" refers to one or more curriculums which prepare students for employment as one of the allied health personnel listed in this section and excludes courses which are required for admission into these curriculums.

(4) Dental Laboratory Technician

(5) Dental Hygienist

(6) Dental Assistant

(7) Ophthalmic Assistant

(8) Occupational Therapy Assistant

(9) Food Service Assistant

Eligible Applicants

To be eligible for an improvement grant, the applicant 2/ must:

(1) Be a public or other nonprofit junior college, college or university,

(2) Provide training for not less than a total of twenty students in the educational programs referred to in the preceding section (Basic Improvement Grants) for which grant support is sought,

(3) Have as one of its institutional components or be affiliated with a teaching hospital which provides the hospital component of the clinical training required for completion of the educational program, and

(4) Meet the following accreditation requirements:

Colleges and Universities - Must be accredited by a recognized body or bodies approved for such purpose by the Commissioner of Education.

Junior Colleges - Must be accredited by the regional accrediting agency for the region in which they are located or provide satisfactory assurance afforded by such accrediting agency to the Surgeon General that reasonable progress is being made toward accreditation. Junior colleges which wish to apply on the basis of reasonable assurance of accreditation should write

2/ Throughout the remainder of this document, institutions which meet the requirements of eligibility are referred to as "training centers."

the Division of Health Manpower Educational Services for information. (See Instructions for address.)

Accreditation

(1) The bodies of accreditation recognized by the Commissioner of Education for accrediting institutions of higher education are the following:

New England Association of Colleges and Secondary Schools

Middle States Association of Colleges and Secondary Schools, Commission on Institutions of Higher Education

North Central Association of Colleges and Secondary Schools, Commission on Colleges and Universities

Northwest Association of Secondary and Higher Schools, Commission on Higher Schools

Southern Association of Colleges and Schools

Western Association of Schools and Colleges, Accrediting Commission for Senior Colleges and Universities, and Accrediting Commission for Junior Colleges

(2) An applicant institution which is not a part of a college or university which is accredited by one of the bodies listed in (1) above, will be considered to be accredited for these purposes if it is approved by a professional accrediting body recognized by the Commissioner of Education to award the principal professional degree granted by the institution. (For example, a "free standing" school of medicine which is accredited by the Liaison Committee on Medical Education would be considered to be accredited for these purposes.)

(3) Educational programs which lead to an associate degree must be fully creditable toward a baccalaureate degree or designed to prepare students for employment as one of the allied health personnel listed on pages 1 and 2. Fully creditable toward a baccalaureate degree means that the associate degree or its equivalent is acceptable as two academic years of college

credit as determined by one or more instititions which offer the baccalaureate degree in the relevant curriculum.

Equivalents of Degrees

In the curriculums which include a clinical component that is undertaken, in whole or in part, after the awarding of the baccalaureate degree, but is not creditable to a higher degree, the certificate or document which signifies satisfactory completion of the clinical experience is considered to be the equivalent of a baccalaureate degree.

A certificate, diploma or other document awarded by the center which signifies satisfactory completion of a program of study carrying not less than two years of academic credit is considered to be the equivalent of an associate degree.

Amount of the Basic Improvement Grant

The amount awarded for a basic improvement grant may not exceed the amount determined by the statutory formula. The formula amount is equal to the product obtained by multiplying $5,000 by the number of educational programs which are included in the approved application plus the product obtained by multiplying $500 by the number of full-time students receiving training in such curriculums on October 15 of the Federal fiscal year in which application is made.

Should the total funds appropriated for the program for any fiscal year be less than the amount required to fund the approved applications, the amount of the award to each training center will be reduced proportionately.

Determination of the Number of Educational Programs and the Number of Students

Educational Programs: The center may include in its application any of the educational programs, as described on pages 1 and 2, which it offers and in which a minimum of six students received training on October 15 of the fiscal year in which application is made and which meet other requirements specified in this document.

Full-time students: In programs leading to the baccalaurate or its equivalent or masters degree only students who are enrolled in the final two years plus those enrolled in any post-baccalaureate clinical experience (not exceeding 24 months in length) may be counted. In programs leading to the associate degree, only those students enrolled in the final year may be counted.

These same limitations apply to determining the minimum (20) enrollment required for eligibility.

Grant Duration

A training center may apply in its intitial application for a basic improvement award for three successive years. Each budget period within the three-year period will be twelve months beginning on March 1.

Application and Award Procedures

(1) Application

Training centers which wish to apply for a basic improvement grant must submit an "Application for an Allied Health Professions Educational Improvement Grant." A description of the manner and method by which all funds granted are to be used by the center to improve the quality of its educational programs is to be included in the application.

(2) Time of Submission

The deadline for submission of a completed application to the Public Health Service is stated in the instructions accompanying the application.

(3) Nature of Review

Applications for basic improvement grants will be reviewed by the Surgeon General to determine the following:

(a) That the purposes for which the center proposes to expend the funds are within the intent of the authorizing legislation.

(b) That the application contains assurance satisfactory to the Surgeon General that during the fiscal year in which the grant is made the training center will expend in support of the educational programs for which grant support is sought, an amount (other than amounts for construction) of non-Federal funds which is at least as great as the average amount of non-Federal funds expended by the center for such purposes during the three Federal fiscal years immediately preceding the fiscal year in which the grant is sought.

(c) That the center has filed the required documentation relating to civil rights and patent policy requirements.

(d) That the application contains, or that the center has previously provided, assurance satisfactory to the Surgeon General that for the first school year beginning after the Federal fiscal year in which the grant is made and for each school year thereafter during which a grant is made, the enrollment of full-time students at such center in educational programs for which grant support is sought, will exceed the highest enrollment in such curriculums for any of the 5 school years during the period July 1, 1961 through July 1, 1966, by at least 2-1/2 per centum of such highest enrollment, or by three students, whichever is greater. This increase is to be in addition to the increase of 5 per centum required under Section 791 (b)(2)(B)(IV) of the Act with respect to a construction grant application where assurance of such increase is given by the center.

The Surgeon General may waive (in whole or in part) the requirement for increasing the number of first-year students. The requirement may be waived only when the increase in enrollment

cannot be accomplished, because of limitations of physical facilities, without lowering the quality of training. Requests for waiver of the requirement must document, to the degree possible, the lowering of the quality of training which would result from increasing the school's enrollment and be prepared in accordance with instructions which will be provided.

(e) That the application contains assurance satisfactory to the Surgeon General that the center has a plan for the development within a reasonable time of a coordinated program of training for the health occupations in a school, department, division, or other administrative unit or under the general supervision of a standing committee of the faculty.

(f) That the application contains assurance satisfactory to the Surgeon General that the funds for which application is made will be used in a manner which will supplement grants, if any, received for the support of other allied health professions training from other Federal sources and will not be charged with expenses being paid from grants from other Federal sources.

(g) That the application contains assurance satisfactory to the Surgeon General that those programs for which grant support is sought and which lead to the baccalaureate or equivalent degree or to a master's degree will qualify graduates for eligibility for professional certification, registration or licensure.

(h) That the application provides assurance satisfactory to the Surgeon General that as of October 15, 1966 a minimum of six students were enrolled in the center to receive training in each program for which support is sought.

The Surgeon General may consult with an advisory council in making these determinations.

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(4) Approval

The Notice of Grant Awarded sets forth the amount of the basic improvement grant for the specified budget period and the amount of funds expected to be committed for the succeeding two years, subject to the availability of funds. The actual amount of subsequent awards will be determined at the time the subsequent award is made.

Waiver of Increased Enrollment Requirement

The Surgeon General may waive (in whole or in part) the requirement for increasing enrollment. The requirement may be waived only when the increase in enrollment cannot be accomplished, because of limitations of physical facilities, without lowering the quality of training.

Expenditures

Except for costs specifically prohibited, grant funds may be used for expenditures which are related to improving the quality of the center's educational programs for which grant support is requested and approved. Typical allowable expenditures include salaries and associated fringe benefits of professional and supportive staff, purchase of supplies and equipment, and allowable costs of minor alterations and renovation.

Although the formula is used as the basis for determining the amount of the grant, expenditures need not be prorated for each program of study in accordance with the formula. Funds may be expended for that portion of the program of study which the center demonstrates to be the professional or technical component which is required for basic professional certification, registration or licensure, even though, for purposes of the formula, counting of students is limited to specified academic years.

Improvement grant funds may not be used for the operation of teaching hospitals, other patient care facilities, patient care, financial assistance to students (e.g., stipends, tuition and fees) research, research training, or capital

construction. The prohibition against payment of operating costs of teaching hospitals does not preclude using these funds to pay salaries of clinical teaching staff in such hospitals or other patient care facilities to improve the quality of the clinical experience provided to students engaged in a program of study included in the approved application. The prohibition against using these funds to support research does not prohibit their use for full payment of the salaries of faculty members principally engaged in teaching and whose research activities are limited and are incidental to their teaching responsibilities.

For the purpose of improvement grants, the term construction includes (1) the construction of new buildings or the acquisition of existing buildings (including related costs, such as architects' fees, acquisition of land, and off-site improvements), (2) the expansion, remodeling, alteration and repair of existing buildings, except where the cost with respect to any single project is less than $50,000, and (3) the initial equipping of such buildings. The prohibition against expending improvement grant funds in excess of $50,000 for remodeling, alteration and repair of existing buildings may be waived by the Surgeon General when he determines that such expenditures will improve the quality of the educational programs.

Rebudgeting of Funds

When a grant is awarded, the budget as presented in the application is approved unless indicated otherwise on the Notice of Grant Awarded. Because the proposed budget represents only the best estimates of the training center and actual needs may differ from those anticipated, the center is permitted to depart from the approved budget and use funds for other purposes which will improve the quality of the educational programs described in its approved application, except for the following restrictions:

(1) Grant funds may not be used for any purpose prohibited by this document, by the Regulations or by the policies of the institution.

(2) Grant funds in excess of $1,000 may not be transferred from any budget category to the alterations and renovations category without prior approval by the Public Health Service.

Continued Support

An application form for requesting support for the next budget period will be mailed to the center at the appropriate time. The application should be submitted in accordance with the instructions attached thereto.

Payment of Grant Funds

Centers having other awards from the Public Health Service programs which are being paid under a letter of credit or similar system will receive payment of this grant through that system. For other centers, the grant will be paid in equal quarterly installments.

Period of Fund Availability

Basic improvement funds may be expended during the budget period specified on the grant award document and also during the succeeding twelve months. No prior approval for carrying over funds is necessary, nor will this carry-over affect the amount of basic improvement funds to be awarded in succeeding years. Any basic improvement funds awarded for a given budget period that are not obligated at the end of the next budget period must be returned to the Public Health Service.

Progress Report

An annual progress report must accompany the continuation application. The progress report is in addition to the annual fiscal report, and its purpose is to identify and quantify, so far as is feasible, the improvements made in the center's educational programs. Instructions for preparing the report will be sent to the center with other materials needed to apply for continuation of support.

Refunds

"Public Health Service Grants for Training Projects - Policy Statement," PHS Publication No. 1302, page 15.

Accounting, Records, and Audit

"Public Health Service Grants for Training Projects - Policy Statement," PHS Publication No. 1302, pages 15-17.

Reports

"Public Health Service Grants for Training Projects - Policy Statement," PHS Publication No. 1302, pages 17-19.

Publications and Publicity

"Public Health Service Grants for Training Projects - Policy Statement," PHS Publication No. 1302, pages 8-9.

Patents and Inventions

"Public Health Service Grants for Training Projects - Policy Statement," PHS Publication No. 1302, pages 7-8.

Related Public Health Service Documents and Guides

The terms of the "Public Health Service Grants for Training Projects - Policy Statement" are applicable to this program with the following exceptions:

(1) Grant funds may not be expended for costs of capital construction, financial assistance to students, patient care, research, research training, or operation of teaching hospitals or other patient care facilities.

(2) Grant funds may be expended for books and periodicals when needed, in the opinion of the center, to improve the quality of its educational programs for which grant support is requested and approved.

(3) Funds may be rebudgeted without prior Public Health Service approval except that funds in excess of $1,000 may not be rebudgeted

for alterations and renovations without prior approval of the Public Health Service.

(4) No indirect cost allowance may be claimed.

Additional information is also available in the Grants for Training Projects, Guide to Operating Procedures and in the information and instructions which accompany relevant forms.

INSTRUCTIONS FOR COMPLETING AN APPLICATION FOR AN ALLIED HEALTH PROFESSIONS EDUCATIONAL IMPROVEMENT GRANT

Submission of Application: Mail original and the first copy of the application to the Health Manpower Grants Branch, Division of Health Manpower Educational Services, Public Health Service, 800 North Quincy Street, Arlington, Virginia, 22203, in the envelope provided for this purpose. Retain the remaining copy for your own records.

## FACE SHEET

PAGE 1

1. Title of Program: Identify the program as "Allied Health Professions Educational Improvement Program."

2A. Type of Application: Identify the request as a new project, i.e., one for which Public Health Service support is being sought for the first time.

2B. Type of Training Program: Enter the titles of the educational programs for which grant support is being sought. These should be the programs for which entries have been made on page 2 and are included in the calculations on page 3 of the application.

3A. Name, Title, and Address of Program Director: Enter the name, title, and address of the person who will bear immediate responsibility for the active direction of the Allied Health Professions Educational Improvement Program.

3B., 3C., 3D. Provide the information requested regarding the program director.

4A. Project Period: Enter the dates of the proposed project period; it may not exceed three years. If the application is for all three years beginning March 1, 1967, enter "March 1, 1967 through February 28, 1970."

4B. Budget Period: The budget period is for 12 months. Enter "March 1, 1967 through February 29, 1968."

5A. Total Amount for Project Period: Enter the total amount requested for the entire project period from page 3, Section II, 2.d., of the application.

5B. Total Amount for the Budget Period: Enter the total amount of basic improvement funds requested for the first budget period from page 3, Section II, column 1, line f of the application.

6. Applicant Organization: The applicant organization is the institution which is to assume legal and financial responsibility for use and disposition of any funds awarded.

7. Unit of Applicant Organization Responsible for Conducting the Training Program: The organization listed is to be the one which has the responsibility for assuring the proper conduct of the improvement program (as against legal responsibility for use of funds). Item 7 must be competed even though it is identical with Item 6.

8. Type of Organization: Self-explanatory.

9. Name, Title, and Address of Official Signing for Applicant Organization: Self-explanatory.

10. Name, Title, and Address of Financial Officer: Self-explanatory.

11. Terms and Conditions: Self-explanatory.

12 A & B. Personal Signatures: The face sheet must be signed in ink by the program director and by the official authorized to sign for the institution, whose names appear in blocks 3A and 9, respectively. "Per" signatures will not be accepted.

## SECTION I

### CALCULATING THE AMOUNT OF THE BASIC IMPROVEMENT GRANT

PAGE 2

1. TABLE 1 - Number of Full-Time Students by Academic Years: This table identifies the programs of study for which grant support is being sought and the number of full-time students enrolled or expected to be enrolled in each program on October 15 of the years specified.

Programs of study: To be eligible for grant support, programs of study must meet the following qualifications:

(1) The program must be listed in Section 57.703 (a) or (b) of the Regulations. The list may also be found on pages 1 and 2 of Terms and Conditions.

(2) The programs must qualify students for a baccalaureate or associate degree, or their equivalents, or for a masters degree. (See Equivalents of Degrees, page 4, Terms and Conditions.)

(3) Baccalaureate or masters programs must qualify graduates for eligibility for professional certification, registration or licensure.

(4) Associate degree programs must meet the requirements specified for associate degree level programs. (See item 3 on page 3, Terms and Conditions.)

(5) There must have been enrolled in each program on October 15, 1966 a total of not less than 6 full-time students in the years listed under Classification of Students, Column 1, Table 1, page 2 of the application.

Number of Full-Time Students by Academic Years: The number of students listed in Columns 2, 3, and 4 of Table 1, page 2 of the application are used for four purposes: (1) to determine whether the program is eligible for support by virture of having a minimum of 6 students enrolled as described in (5) above, (2) to determine whether the applicant meets one of the qualifications required of a training center for the allied health professions by providing training for a total of not less than 20 full-time students as of October 15, 1966, (3) to determine the amount of the basic improvement grant for the first budget year and (4) to estimate the amounts of the basic improvement grants for the second and third budget years.

In Column 2 on the appropriate lines, enter the number of full-time students enrolled on October 15, 1966 in what the applicant considers to constitute the professional or technical years of the program. Do not include enrollments in year which are considered prerequisite for admission to the professional or technical program. In Columns 3 and 4 enter the number of full-time students expected to be enrolled on October 15, 1967 and 1968 respectively. The entries in Columns 3 and 4 should include the school's commitment to increase its enrollment in order to qualify for a basic improvement grant. Students enrolled in the professional portion of a baccalaureate degree program, not exceeding the third and fourth academic years, may be counted.

Students enrolled for a period of clinical experience not exceeding 24 months which is undertaken, in whole or in part, after the awarding of the baccalaureate degree and which is required for professional certification, registration or licensure may be counted. Enter the number of students enrolled for this kind of clinical experience as fifth and sixth year students as applicable.

Students enrolled in masters degree programs which prepare students to meet the basic professional requirements for employment may be counted. Enter the number of students enrolled in these programs as fifth and sixth year students as applicable.

Students enrolled in the final year of a program which leads to an associate of arts degree may be counted. Enter the number of students enrolled in the final year of these programs as second year students. In those instances in which a year of collegiate education is required for admission into a two-year program, for example, an associate degree program in dental hygiene, students enrolled in the final year of the program should be entered as second year students - even though they are actually enrolled in the third year of college.

Full-time student means a student who is enrolled in the professional or technical component of an educational program as specified above for a sufficient number of credit hours or their equivalent, to complete the requirements for the degree for which he is a candidate within the number of semesters or other academic terms usually required therefor by the applicant institution. This may include students attending classes at the training center and those in portions of the curriculums provided

in affiliated hospitals or other patient care facilities, provided that the applicant training center awards the degree.

Note: In the colleges and universities which offer both an associate degree and a baccalaureate degree in dental hygiene, students enrolled in both programs may be counted in the manner described.

On the total line, Table 1, page 2, enter the sum of the entries in each column.

PAGE 3

2. TABLE 2 - Calculating the Amount of the Improvement Grant for Each Budget Period:

Basic Improvement Grant: For each budget period the formula for determining the amount of the grant is as follows:
($5,000 times the number of programs of study for which grant support is sought) + ($500 times the total number of full-time students enrolled in such programs as of October 15 of the Federal fiscal year during which application is - or will be - made).

The instructions for making the calculations are given on page 3 of the application.

Enter in Section II, Column 1, line f, and also in space 5.B. on the face sheet the amount calculated in item (a) of Table 2.

Enter the total amount calculated in item (d) of Table 2 in space 5.A. on the face sheet as the total amount for the 3-year project period.

## SECTION II

## FIRST-YEAR BUDGET ESTIMATE

Budget the amount of basic improvement funds for the first year according to the categories provided on page 3. (See section on expenditures in the Terms and Conditions, pages 8 and 9.)

PAGE 4

## SECTION III

## ASSURANCES

Section III provides for the assurances which are required by the Act and the Regulations in order for the applicant to qualify for a grant.

1. Assurance Regarding Increase in Number of Students Enrolled:

The Act specifies in effect that the Surgeon General shall not make an educational improvement grant to any school unless he is assured that the school will increase the number of students enrolled in the school as required by and described in item (d) on page 6 of Terms and Conditions. The Surgeon General may, however, waive the requirement in whole or in part if the required increase cannot be accomplished, because of limitation of physical facilities, without lowering the quality of training. Each applicant must, therefore, determine whether or not it is possible to provide assurance that it will increase its enrollment as required.

To determine the school's enrollment base for this purpose each applicant should complete the table (1.a. Certification of Previous Enrollments) on page 4 of the application. The table should be completed in the same manner as the table on page 2.

In Columns 1, 2, 3, 4 and 5 (for only those programs for which entries were made in the table on page 2) enter the number of students enrolled in the program on October 15 of the calendar year indicated in the column heading.

On the total line, enter the sum of the entries in each column.

PAGE 5

1.b. and 1.c. - In order to comply with the requirement, the school must increase its enrollment by 2-1/2 percent or 3 students (whichever is greater) above the largest number of students shown on the total line in the table on page 4. The increase is required to be effected by October 15, 1967. The total increase may be made in any one of the programs listed or divided among them as the applicant may wish. Because one of the purposes of this program is to increase the number of persons qualified to work in allied health occupations, each applicant which can comply with the increase in enrollment requirement is urged to do so. Assurance of complicance with the requirement may be provided by checking the box after item 1.b., and entering the appropriate figure in the space provided in statement 1.c.

1.d. - Applicants which wish to request the Surgeon General to waive the requirement either in whole or in part should: (a) so indicate by checking the box, (b) indicate in item 1.c. the maximum number of students the school can accept, and (c) attach to the application a continuation sheet titled "Request for Waiver of Assurance." The "Request for Waiver of Assurance" should provide the following information in as complete and detailed form as possible:

- Describe the degree to which the requirement can be met (that is, whether no increase in enrollment can be achieved or some increase is possible but less than required),
- The specific physical facilities which are limited,
- The degree of severity of limitation,
- The specific components of the training program which would be lowered in quality if additional students were accepted,
- The cause and effect relationship between limitation of physical facilities and the lowering of quality of each training component specified, and
- Any other information which in your opinion would further document the situation in your school.

Although there are many factors which may make it difficult for a school to increase its enrollment, there are only two legal criteria which constitute grounds for granting a waiver. These criteria are:

The required increase in enrollment cannot be accomplished without lowering the quality of training, and

The lowering of the quality of training would be caused by limitations of physical facilities available for training.

A school which requests waiver of the requirement should prepare its statement with particular care and document its contention to the degree possible.

2. Assurance Regarding the Development of a Coordinated Program for Training for the Allied Health Occupations: The required assurance is provided by a checkmark in the box.
3. Assurance Regarding Hospital Affiliation: The Act requires that the training center have as one of its parts or be affiliated with a hospital which provides the clinical training required for completion of the

curriculums for which grant support is sought. The required assurance is provided by making a checkmark in the box.

4. Assurance Regarding a Minimum of Six Students in Each Curriculum: The Regulations require that the applicant assure the Surgeon General that a minimum of six students received training on October 15, 1966 in each curriculum for which grant support is sought. The required assurance may be provided by placing a checkmark in the box.

5. Assurance Regarding Supplementary Use of Federal Funds: The Regulations require that the applicant assure the Surgeon General that any funds awarded under the Allied Health Professions Educational Improvement Grant Program will be used to supplement funds, if any, received from other Federal sources for support of allied health professions training. The assurance is provided by placing a checkmark in the box.

PAGE 6

6. Assurance Regarding Amount of Non-Federal Expenditures: Enter the amount (other than for capital construction) of non-Federal funds the applicant expended during the three preceding fiscal years, and the average annual amount for the three-year period. For the purpose of this assurance, construction includes (1) the construction of new buildings or the acquisition of existing buildings (including related costs such as architects' fees, acquisition of land, and off-site improvements), (2) the expansion, remodeling, alteration and repair of existing buildings except where the cost with respect to any single project is less than $50,000 and (3) the initial equipping of such buildings.

## SECTION IV

## LISTING OF OTHER FEDERAL GRANT SUPPORT OF EDUCATIONAL PROGRAMS INCLUDED IN THE APPLICATION

Enter the requested information concerning all Federal grants and pending applications for such grants which include support for enhancing or expanding the institution's offering of the educational programs included in this application.

## SECTION V

## DESCRIPTION OF PLANNED EDUCATIONAL IMPROVEMENTS AND OTHER NARRATIVE INFORMATION

### CONTINUATION SHEETS

Instructions for Typing: Use white bond paper, 8-1/2 x 11 inches; type in triplicate; single space, with not less than one-inch margins, top, bottom and sides; use dark typewriter ribbon. The first continuation sheet should be numbered page 7; if additional pages are used, number serially.

1. Coordination of Educational Programs for Allied Health Professions

   Organizational Pattern: On the first continuation sheet, describe briefly the present organizational pattern within the applicant institution for teaching of allied health professions personnel. If there is no coordinated organizational pattern, outline the institution's plan for coordinating the several educational programs into a single organizational unit or under the direction of a faculty committee.

   Accreditation: (a) Junior Colleges: In order to be eligible for a grant, a junior college must be accredited by the regional accrediting agency for the region in which it is located or provide assurance that

reasonable progress is being made toward accreditation. If accredited, enter the name of the regional accrediting agency. If application is made on the basis of an assurance of reasonable progress toward accreditation, attach a supporting statement from the regional accrediting agency.

(b) Colleges and Universities: Colleges and universities must be accredited by a recognized body or bodies approved for such purposes by the Commissioner of Education. Enter the name of the accrediting body. (See the section on accreditation, page 3 of Terms and Conditions.)

2. Information Concerning Individual Educational Programs: For each program of study which has been included in the application, please submit on separate sheets of plain paper, the following information concerning the program as presented in your institution:

a. Describe the professional or technical portion of the educational program which leads to the degree granted by your institution. Indicate its length in academic sessions, weeks or months, and at what academic level students are admitted to the program.

b. If you provide only a portion of the program of study as described above, please indicate the portion which you do not provide and name the institution(s) with which you are affiliated for this purpose and describe briefly the nature and extent of your agreement with the other institution(s) which you consider your primary affiliate(s).

c. For those associate degree programs which are creditable toward a baccalaureate degree, list one or more institutions which accept the associate degree level training as fully creditable toward the baccalaureate degree in the relevant program of study.

Programs which lead to a baccaluareate or equivalent degree (See Terms and Conditions page 4 for definition of Equivalents of Degree) or to a higher degree must qualify graduates for eligibility for professional certification, registration, or licensure, or such other professional recognition as the Surgeon General may find acceptable. Approval of the educational program by the appropriate nationally recognized agency will, for purposes of this program, be considered assurance that graduates will be eligible for professional certification, registration or licensure. Therefore, indicate the accrediting bodies by which your training program or that of your affiliated institution(s) or both are currently approved.

d. Describe the educational improvements expected to be made in the curriculum from grant funds during the first budget period. Schools are encouraged to include in this description the background of existing programs within the context of which these improvements are expected to be made.

DEPARTMENT OF
HEALTH, EDUCATION, AND WELFARE
PUBLIC HEALTH SERVICE
BUREAU OF STATE SERVICES - COMMUNITY HEALTH

# APPLICATION FOR TRAINING GRANT
## (EDUCATIONAL IMPROVEMENT)

| |
|---|
| APPLICATION NO. |
| DATE RECEIVED |
| REVIEW GROUP |
| PHS ACCOUNT NUMBER |

1. TITLE OF PROGRAM

2A. TYPE OF APPLICATION

☐ NEW ☐ REVISION OF APPLICATION NO. _____

FOR GRANT NO. _____

☐ CONTINUATION ☐ REVISION
☐ RENEWAL ☐ SUPPLEMENT

2B. TYPE OF TRAINING PROGRAM

3A. NAME, TITLE, AND ADDRESS OF PROGRAM DIRECTOR

3B. DEGREE

3C. SOCIAL SECURITY NUMBER

3D. TELEPHONE DATA — Area Code — Telephone Number

SAMPLE

| 4 DATES | FROM | THROUGH | 5 TOTAL AMOUNT (Per period) |
|---|---|---|---|
| A. PROJECT PERIOD | | | A. $ |
| B. BUDGET PERIOD | | | B. $ |

6. APPLICANT ORGANIZATION (Name and Address—Street, City, State, Zipe Code) SEE INSTRUCTIONS.

7. UNIT OF APPLICANT ORGANIZATION RESPONSIBLE FOR CONDUCTING TRAINING PROGRAM

8. TYPE OF ORGANIZATION (Check applicable item)

PUBLIC: ☐ STATE ☐ LOCAL ☐ OTHER _____

PRIVATE NONPROFIT: ☐ NATIONAL ☐ STATE ☐ LOCAL

COUNTY

CONGRESSIONAL DISTRICT

9. NAME, TITLE AND ADDRESS OF OFFICIAL SIGNING FOR APPLICANT ORGANIZATION

10. NAME, TITLE, AND ADDRESS OF FINANCIAL OFFICER

11. TERMS AND CONDITIONS: The undersigned accept as to any grant awarded the obligation to comply with the applicable Public Health Service Regulations in effect at the time of the award and the terms and conditions in the Grants for Training Projects Policy Statement, as modified for the Allied Health Professions Educational Improvements Program. The undersigned also certify that they have no commitments or obligations including those with respect to inventions inconsistent with compliance with the above and that trainees with such commitments will not be accepted for participation in this program. The undersigned further agree to comply with Title VI of the Civil Rights Act of 1964 (P.L. 88-352) and the regulations issued pursuant thereto and state that the formally filed, or attached, assurance of compliance with such Regulation (Form HEW 441) applies to the project.

| 12. SIGNATURES *Required on original only. Use ink. "Per" signatures NOT acceptable.* | A. SIGNATURE OF INDIVIDUAL NAMED IN ITEM 3A. | DATE |
|---|---|---|
| | B. SIGNATURE OF INDIVIDUAL NAMED IN ITEM 9. | DATE |

| SECTION I - CALCULATING THE AMOUNT OF THE BASIC IMPROVEMENT GRANT | | | | |
|---|---|---|---|---|
| Table 1 - Number of Full-Time Students by Academic Years | | | | |
| Programs of Study Preparing Students as: | Classification of Students (Col. 1) | Number of Full-Time Students on October 15 | | |
| | | 1966 (Col. 2) | 1967 (Col. 3) | 1968 (Col. 4) |
| Medical Technologist | sixth-year | | | |
| | fifth-year | | | |
| | fourth-year | | | |
| | third-year | | | |
| Optometric Technologist | sixth-year | | | |
| | fifth-year | | | |
| | fourth-year | | | |
| | third-year | | | |
| Radiologic Technologist | sixth-year | | | |
| | fifth-year | | | |
| | fourth-year | | | |
| | third-year | | | |
| Medical Records Librarian | sixth-year | | | |
| | fifth-year | | | |
| | fourth-year | | | |
| | third-year | | | |
| Dietitian | sixth-year | | | |
| | fifth-year | | | |
| | fourth-year | | | |
| | third-year | | | |
| Occupational Therapist | sixth-year | | | |
| | fifth-year | | | |
| | fourth-year | | | |
| | third-year | | | |
| Physical Therapist | sixth-year | | | |
| | fifth-year | | | |
| | fourth-year | | | |
| | third-year | | | |
| Dental Hygienist | fourth-year | | | |
| | third-year | | | |
| | second-year | | | |
| X-ray Technician | second-year | | | |
| Medical Records Librarian | second-year | | | |
| Inhalation Therapy Technician | second-year | | | |
| Dental Laboratory Technician | second-year | | | |
| Dental Assistant | second-year | | | |
| Ophthalmic Assistant | second-year | | | |
| Food Service Assistant | second-year | | | |
| Occupational Therapy Assistant | second-year | | | |
| T O T A L | | | | |

SAMPLE

| Table 2 - CALCULATING THE AMOUNT OF THE IMPROVEMENT GRANT FOR EACH BUDGET PERIOD | | |
|---|---|---|
| BUDGET PERIOD | AMOUNTS | |
| a. Grant from the appropriation for F.Y. 1967 for the budget period March 1, 1967 through February 29, 1968.<br><br>$5,000 x the number of programs of study in which entries were made in Col. 2, Table 1, page 2. | $ | |
| $500 x the number of students shown on Total line, Col. 2, Table 1, page 2. | $ | |
| T O T A L | | $ |
| Enter the amount as the total in Column 1, line f in Section II, Budget Estimate, below. | | |
| b. Grant from the appropriation for F.Y. 1968 for the budget period March 1, 1968 through February 28, 1969.<br><br>$5,000 x the number of programs of study in which entries were made in Col. 3, Table 1, page 2. | $ | |
| $500 x the number of students shown on Total line, Col. 3, Table 1, page 2. | $ | |
| T O T A L | | $ |
| c. Grant from the appropriation for F.Y. 1969 for the budget period March 1, 1969 through February 28, 1970.<br><br>$5,000 x the number of programs of study in which entries were made in Col. 4, Table 1, page 2. | $ | |
| $500 x the number of students shown on Total line, Col. 4, Table 1, page 2. | $ | |
| T O T A L | | $ |
| d. TOTAL AMOUNT OF BASIC IMPROVEMENT GRANT FUNDS FOR THE ENTIRE PROJECT PERIOD. (Enter this amount on the Face Sheet in space 5A) | | $ |

SAMPLE

| SECTION II - FIRST-YEAR BUDGET ESTIMATE | | |
|---|---|---|
| Line | Description | Column I |
| a | Teaching Staff | |
| b | Other Staff | |
| c | Equipment | |
| d | Alterations and Renovations | |
| e | All Other Expenses | |
| f | T O T A L | |

## SECTION III - ASSURANCES

1. Assurances Regarding Increase in Number of Students Enrolled

1a. Certification of Previous Enrollments

The applicant hereby certifies that the number of full-time students enrolled in the specified programs of study on October 15 of each year during the period July 1, 1961 through July 1, 1966 was as follows:

| Programs Preparing Students As: | Classification of Students | Number of Full-Time Students as of October 15 | | | | |
|---|---|---|---|---|---|---|
| | | 1961 (Col. 1) | 1962 (Col. 2) | 1963 (Col. 3) | 1964 (Col. 4) | 1965 (Col. 5) |
| Medical Technologist | sixth-year | | | | | |
| | fifth-year | | | | | |
| | fourth-year | | | | | |
| | third-year | | | | | |
| Optometric Technologist | sixth-year | | | | | |
| | fifth-year | | | | | |
| | fourth-year | | | | | |
| | third-year | | | | | |
| Radiologic Technologist | sixth-year | | | | | |
| | fifth-year | | | | | |
| | fourth-year | | | | | |
| | third-year | | | | | |
| Medical Records Librarian | sixth-year | | | | | |
| | fifth-year | | | | | |
| | fourth-year | | | | | |
| | third-year | | | | | |
| Dietitian | sixth-year | | | | | |
| | fifth-year | | | | | |
| | fourth-year | | | | | |
| | third-year | | | | | |
| Occupational Therapist | sixth-year | | | | | |
| | fifth-year | | | | | |
| | fourth-year | | | | | |
| | third-year | | | | | |
| Physical Therapist | sixth-year | | | | | |
| | fifth-year | | | | | |
| | fourth-year | | | | | |
| | third-year | | | | | |
| Dental Hygienist | fourth-year | | | | | |
| | third-year | | | | | |
| | second-year | | | | | |
| X-ray Technician | second-year | | | | | |
| Medical Records Technician | second-year | | | | | |
| Inhalation Therapy Tech. | second-year | | | | | |
| Dental Laboratory Technician | second-year | | | | | |
| Dental Assistant | second-year | | | | | |
| Ophthalmic Assistant | second-year | | | | | |
| Food Service Assistant | second-year | | | | | |
| Occupational Therapy Assistant | second-year | | | | | |
| T O T A L | | | | | | |

SAMPLE

1. (1b) The applicant hereby assures the Surgeon General that the total number of full-time students enrolled in the programs of study specified on the preceding page on October 15, 1967 and on October 15 of each succeeding year during which a grant is made will exceed the highest number of students enrolled on October 15 of any year between July 1, 1961 and July 1, 1966 by 2-1/2 percent or three students, whichever is greater. ☐

(1c) The applicant hereby assures the Surgeon General that the total number of full-time students which will be enrolled in the programs of study specified above on October 15, 1967 and on October 15 of each succeeding year during which a grant is made will not be less than_____.

(1d) The applicant hereby states that the required increase in enrollment of full-time students in the programs of study specified on the preceding page cannot, because of limitation of physical facilities available to the center for training, be accomplished without lowering the quality of training for such students and, therefore, requests the Surgeon General to waive the requirement in whole or in part as specified in the attached statement. ☐

2. Assurance Regarding the Development of a Coordinated Program for Training for the Health Occupations

The applicant hereby assures the Surgeon General that the center has two or more coordinated programs of training for the health occupations in a school, department, division or other administrative unit or under the general supervision of a standing committee of the faculty or has a plan for the development within a reasonable time of such a coordinated program of training for the health occupations. ☐

SAMPLE

3. Assurance Regarding Hospital Affiliation

The applicant hereby states that the center has a teaching hospital as one of its organizational components or that it is affiliated with one or more such hospitals which provide the hospital component of the clinical training required for completion of the curriculums for which grant support is sought, by means of an agreement which provides for effective coordination of academic and clinical components of such curriculums, and for their supervision by the faculty of the center. ☐

4. Assurance Regarding a Minimum of Six Students in Each Curriculum

The applicant hereby assures the Surgeon General that a minimum of 6 students received training on October 15, 1966 in each curriculum for which grant support is sought in this application. ☐

5. Assurance Regarding Supplementary Use of Federal Funds

The applicant hereby assures the Surgeon General that the funds for which application is made will be used in a manner which will supplement grants, if any, received for the support of allied health professions training from other Federal sources and will not be charged with expenses which are being paid from grants from other Federal sources. ☐

6. ASSURANCE REGARDING AMOUNT OF NON-FEDERAL EXPENDITURES

| Amount of Non-Federal Expenditures for the Period | Amount |
|---|---|
| July 1, 1965 through June 30, 1966 | $ |
| July 1, 1964 through June 30, 1965 | $ |
| July 1, 1963 through June 30, 1964 | $ |
| T O T A L | $ |
| Average for the three-year period | $ |

The applicant hereby assures the Surgeon General that the expenditures of non-Federal funds during fiscal year 1967 will equal or exceed the average amount of non-Federal funds expended during the preceding three Federal fiscal years. ☐

SECTION IV - OTHER FEDERAL GRANT SUPPORT

SAMPLE

| Source of Federal Support and Grant Number (If Designated) | Title of Active or Approved Project | Total Amount | Total Period of Support Give Dates (From-To) |
|---|---|---|---|
| | | | |
| Applications Pending Decision (Give title, requested amount and agency to which application was submitted). | | | |

SECTION V - DESCRIPTION OF PLANNED EDUCATIONAL IMPROVEMENTS AND OTHER NARRATIVE INFORMATION (Use continuation pages as needed. See pages 11-13 of instructions).

DRAFT REGULATIONS

ALLIED HEALTH PROFESSIONS EDUCATIONAL IMPROVEMENT GRANTS

The regulations which follow are designated as "Draft Regulations" because regulations are not official until they are published in the Federal Register. The Draft Regulations are in the form in which they are expected to be published. If any substantive change should be made in them prior to their publication, schools will be informed.

TITLE 42--PUBLIC HEALTH

CHAPTER 1-- PUBLIC HEALTH SERVICE

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

SUBCHAPTER D--GRANTS

PART 57--GRANTS FOR CONSTRUCTION OF HEALTH RESEARCH FACILITIES (INCLUDING MENTAL RETARDATION RESEARCH FACILITIES), TEACHING FACILITIES, STUDENT LOANS, EDUCATIONAL IMPROVEMENTS AND SCHOLARSHIPS

SUBPART H--GRANTS TO IMPROVE QUALITY OF TRAINING CENTERS FOR THE ALLIED HEALTH PROFESSIONS

Sec.


57.701 Definitions.
57.702 Eligibility.
57.703 Specified Curriculums.
57.704 Equivalents of degrees.
57.705 Accreditation.
57.706 Application.
57.707 Assurances required.
57.708 Determination of curriculums for computing basic improvement grants.
57.709 Determination of number of students.
57.710 Grant awards.
57.711 Amount of grants.
57.712 Expenditure of grant funds.
57.713 Nondiscrimination.
57.714 Payments.
57.715 Records, reports, inspection.
57.716 Termination of grants.


57.701 Definitions.

As used in this subpart:

(a) "Act" means the Public Health Service Act, as amended.

(b) "Surgeon General" means the Surgeon General of the Public Health Service or any other officer or employee of the Public Health Service to whom the Surgeon General has delegated authority to act in his behalf to carry out the purposes of Part H of Title VII of the Act.

(c) "Training Center for the Allied Health Professions" or "center" means a junior college, college, or university which provides training in one or more of the allied health professional or technical curriculums listed in Section 57.703 and which meets the requirements specified in Section 795 (1) of the Act.

(d) "Curriculum" means that portion of a program of study leading to an associate or baccalaureate degree or to the equivalent of either or to a higher degree which the center demonstrates to be the professional or technical component of the program of study.

(e) "Full-time student" means a student who is enrolled in the professional or technical component of a curriculum specified in Section 57.703 (a) not exceeding the last two academic years plus a period of clinical experience not longer than 24 months required for professional certification, registration or licensure, or is enrolled in the last academic year of a curriculum specified in Section 57.703 (b) and who is enrolled for sufficient number of credit hours or their equivalent to complete the requirements for such degree within the number of semesters or other academic terms usually required therefor by the center in which he is enrolled.

(f) "Junior college" means an academic institution providing programs of post-high school education and offering the associate degree as the highest earned academic award.

(g) "Council" means a national advisory council, appointed to advise the Surgeon General on matters relating to the Allied Health Professions.

(h) "Construction" for purposes of improvement grants (this subpart) includes (1) the construction of new buildings or the acquisition of existing buildings (including related costs such as architects' fees, acquisition of land, and off-site improvements, (2) the expansion, remodeling, alteration and repair of existing buildings except where the cost with respect to any single project is less than $50,000, and (3) the initial equipping of such buildings.

(i) "Budget Year" means the twelve-month period specified in the grant award document.

(j) "Fiscal year" means the Federal fiscal year beginning on July 1 and ending on the following June 30.

57.702 Elibility.

(a) To be eligible for a basic improvement grant under the Act, the applicant shall:

(1) Meet the applicable requirements of Section 792 and 795 of the Act and of these regulations;

(2) File an application as required in Section 57.706;

(3) Be located in a State, the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, or Guam; and

(4) Have as one of its institutional components or be affiliated with one or more teaching hospitals which provide the hospital component of the clinical training required for completion of the curriculums listed in Section 57.703 for which grant support is sought, by means of an agreement which provides for effective coordination of academic and clinical components of these curriculums and their supervision by the faculty of the center.

(b) To be eligible for a special improvement grant under the Act, the applicant must:

(1) Have filed an application for a basic improvement grant which has been approved by the Surgeon General;

(2) Demonstrate in its application that the special improvement grant funds will be utilized to contribute toward provision, maintenance, or improvement of the specialized function which the center serves, and

(3) Demonstrate in its application that during the academic year following the first budget year students will be receiving training in three or more of the curriculums specified in Section 57.703 and that such curriculums will be administered as a school, department, division, or other administrative unit under the direction of a Dean or other such official.

57.703 Specified Curriculums.

(a) Basic and special improvement grant funds authorized under Section 792 of the Act may be used to develop and improve curriculums which qualify students for the baccalaureate degree or its equivalent or masters degree to the extent required to meet the basic professional requirements for employment as one of the following:

(1) Medical Technologist

(2) Optometric Technologist

(3) Dental Hygienist

(4) Radiologic Technologist

(5) Medical Records Librarian

(6) Dietitian

(7) Occupational Therapist

(8) Physical Therapist

(b) Basic and special improvement grant funds authorized under Section 792 of the Act may also be used to develop and improve curriculums which qualify students for the associate degree or its equivalent and for employment as one of the following:

(1) X-ray Technician

(2) Medical Records Technician

(3) Inhalation Therapy Technician

(4) Dental Laboratory Technician

(5) Dental Hygienist

(6) Dental Assistant

(7) Ophthalmic Assistant

(8) Occupational Therapy Technician

(9) Food Service Assistant

(c) Curriculums which lead to an associate degree or its equivalent must be fully creditable toward a baccalaureate degree or designed to prepare students for employment in the categories specified in paragraph (b) of this section. Fully creditable toward a baccalaureate degree means that the associate degree or its equivalent is acceptable as two academic years of college credit as determined by one or more institutions which offer the baccalaureate degree in the relevant curriculum.

57.704 Equivalents of Degrees.

(a) A certificate, diploma or other document awarded by the center which signifies satisfactory completion of a program of study of not less than two academic years shall be considered to be the equivalent of an associate degree.

(b) In the curriculums which include a clinical component that is undertaken, in whole or in part, after the awarding of the baccalaureate degree, but not creditable to a higher degree, the certificate or document

which signifies satisfactory completion of the clinical experience, shall be considered to be the equivalent of a baccalaureate degree.

57.705 Accreditation.

Applicant colleges and universities must be accredited by a recognized body or bodies approved for such purpose by the Commissioner of Education. (See also Section 57.707 (d). Junior colleges must be accredited by the regional accrediting agency for the region in which they are located or provide satisfactory assurance afforded by such accrediting agency to the Surgeon General that reasonable progress is being made toward accreditation.

57.706 Application.

Each center desiring an improvement grant under the Act shall submit an application in such form and at such time as the Surgeon General may require. Such application shall be executed by an individual authorized to act for the applicant and to assume on behalf of the applicant the obligations imposed by the terms and conditions of any award, including the regulations of this subpart.

(a) An application for a basic improvement grant shall include a description of the manner and method by which all funds granted will be utilized by the applicant to improve the curriculums specified in Section 57.703 for which grant support is sought.

(b) An application for a special improvement grant shall include a plan setting forth specifically the manner and methods by which both basic and special improvement grant funds will be used (1) to contribute toward provision, maintenance or improvement of the specialized function which the training center serves and (2) to establish or improve the curriculums specified in Section 57.703.

57.707 Assurances Required.

In addition to any other requirements imposed by law, each improvement grant shall be subject to the condition that the applicant will furnish and comply with the following assurances and requirements. The Surgeon General may require additional assurances where he finds that such additions are necessary to carry out the purposes of the Act.

(a) With respect to the assurance required by Section 792 (d)(2) of the Act, relating to the continued expenditure of non-Federal funds, the amounts of non-Federal funds to be expended during the fiscal year for which the grant is sought and expended during the three fiscal years immediately preceding the fiscal year for which the grant is sought shall be determined on the basis of the non-Federal funds expended in support of those curriculums for which grant support is sought and exclude the cost of construction as defined in Section 57.701 (h).

(b) With respect to the assurance required by Section 792 (b)(2) of the Act relating to increased enrollment, the center shall, except as otherwise provided in this paragraph, furnish such reasonable assurances as the Surgeon General may require that for the first school year beginning after the budget year and each school year thereafter during which a grant is made, the enrollment of full-time students in such center in curriculums for which grant support is sought will exceed the highest enrollment in such curriculums for any of the 5 school years during the period July 1, 1961 through July 1, 1966, by at least 2-1/2 per centum of such highest enrollment, or by three students, whichever is greater. This increase shall be in addition to the increase of 5 per centum required under Section 791 (b)(2)(B)(iv) of the Act with respect to a construction grant application, where assurance of such increase has been given by the center.
Where a training center cannot, because of limitations of physical facilities, increase its enrollment as required by Section 792 (b)(2) of the Act, such

training center may request the Surgeon General to waive, in whole or in part, (in accordance with the last sentence of Section 792 (b)(2) of the Act), the assurance of increased enrollment. The training center shall in its application state the reasons why the required increase in enrollment of full-time students in such center cannot, because of limitations of physical facilities available to the center for training, be accomplished without lowering the quality of training for such students.

(c) The applicant shall provide assurance satisfactory to the Surgeon General that the funds for which application is made will be used in a manner which will supplement grants, if any, received for the support of allied health professions training from other Federal sources and will not be charged with expenses which are being paid from grants from other Federal sources.

(d) With respect to an application for a basic improvement grant, the applicant shall provide assurance satisfactory to the Surgeon General that the center has a plan for developing within a reasonable time a coordinated program of training for the health occupations in a school, department, division, or other administrative unit or under the general supervision of a standing committee of the faculty.

(e) With respect to those curriculums which lead to the baccalaureate or equivalent degree or higher degree, the applicant shall provide assurance satisfactory to the Surgeon General that such curriculums will qualify graduates for eligibility for professional certification, registration or licensure, or such other professional recognition as the Surgeon General may find acceptable.

57.708 Determination of Curriculums for Computing Basic Improvement Grants.

For purposes of computing the amount of the basic improvement grant, the number of curriculums shall be the number of curriculums specified in

Section 57.703 for which the applicant provides assurance satisfactory to the Surgeon General that a minimum of six full-time students received training in each such curriculum on October 15 of the fiscal year in which the application is made.

57.709 Determination of Number of Students

(a) For the purposes of Section 795 (1)(B) of the Act, the number of students to which a center provides training in one or more of the curriculums specified in Section 57.703 shall be the number of full-time students receiving training in such curriculums on October 15 of the fiscal year in which application is made, provided that assurances satisfactory to the Surgeon General are received that a minimum of six full-time students received training in each such curriculum on such date.

(b) For the purpose of computing the amount of the basic improvement grant, the number of full-time students in such center receiving training in each of the curriculums offered by the center which are specified in Section 57.703 shall be the number of full-time students receiving such training on October 15 of the fiscal year in which application is made, provided that assurances satisfactory to the Surgeon General are received that a minimum of six full-time students received training in each such curriculum on such date.

(c) For the purposes of the assurance required by Section 792 (b)(2) of the Act, the number of full-time students enrolled at the center for any of the five school years during the period July 1, 1961 through July 1, 1966 and for any school year after the first budget year shall be the number of full-time students receiving training on October 15 of such year in curriculums which are specified in Section 57.703 for which a grant is sought.

57.710 Grant Awards.

(a) After consultation with the Council, the Surgeon General shall award a basic improvement grant to each applicant whose application he has approved after determining that it meets the requirements of the Act and the regulations in this subpart.

(b) The Surgeon General may award a special improvement grant to any applicant after consultation with the Council, and after the Surgeon General determines that such grant will be utilized by the applicant in accordance with the purposes specified in Section 792 (c) of the Act. In making special improvement grants, the Surgeon General shall give consideration to the following factors:

(1) The relative financial need of the applicant for such grant.

(2) The relative effectiveness of the applicant's proposal in contributing toward provision, maintenance or improvement of the specialized function which the center serves.

(3) The extent to which the applicant's proposal contributes to an equitable geographical distribution of centers offering high quality training in the curriculums specified in Section 57.703.

57.711 Amount of Grants.

(a) The amount of each basic improvement grant shall be the amount computed in accordance with Section 792 (b)(1) of the Act. Where the amount of funds available for any Federal fiscal year is less than the total of the amounts computed, the grant awarded to each center shall be reduced proportionately.

(b) Within the limits of available funds and the restrictions specified in Section 792 (c)(3) of the Act with respect to the maximum amount of the grant, the amount of each special improvement grant shall

be that which the Surgeon General deems to be reasonably necessary to carry out the applicant's approved special improvement plan. In evaluating applications and in determining the amount of special improvement grants, the Surgeon General shall take into consideration the availability of other Federal financial assistance to the center for the support of curriculums for which application has been made in order to insure complementary utilization of Federal financial support. For purposes of assisting him in these considerations, the Surgeon General shall consult with the Commissioner of Education and the Commissioner of Vocational Rehabilitation.

57.712 Expenditure of Grant Funds.

(a) Basic improvement grant funds may be obligated by the center at any time between the beginning of the budget year and the end of the 12-month period following the budget year, for any purpose which will strengthen, develop or improve the curriculums for which the grant is made but may not be expended for the purposes listed in paragraph (c) of this section. Any funds not so obligated must be refunded to the Public Health Service.

(b) Special improvement grant funds may be expended only to carry out the purposes of the special improvement plan set forth in the center's application and approved by the Surgeon General. Any unobligated special improvement funds remaining in the grant account at the close of a budget year will be carried forward and will be available for obligation during subsequent budget years. The amount of the subsequent award will take into consideration the amount remaining in the grant account. At the end of the last budget year any unobligated special improvement funds remaining in the grant account must be refunded to the Public Health Service.

(c) Basic or special improvement grant funds may not be expended for the following purposes:

(1) Construction (as defined in Section 57.701), provided, however, that the Surgeon General may in particular cases approve the expenditure of improvement grant funds for remodeling, alteration, and repair of existing buildings in excess of $50,000 where he finds that such expenditure is necessary in order to improve the quality of the curriculums for which the grant is made;

(2) Research;

(3) Research Training;

(4) Student assistance;

(5) Patient care; or

(6) Operation of hospitals.

57.713 Nondiscrimination.

(a) Attention is called to the requirements of Title VI of the Civil Rights Act of 1964 (78 Stat. 251: P.L. 88-352) which provides that no person in the United States shall, on the ground of race, color or national origin be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance (Section 601). A regulation implementing such Title VI, which is applicable to grants made under this part, has been issued by the Secretary of Health, Education, and Welfare with the approval of the President (45 C.F.R. Part 80).

(b) Each grant for expansion, remodeling, alteration or repairs shall be subject to the condition that the grantee shall comply with the requirements of Executive Order 11246 (Sept. 24, 1965), and with the applicable rules, regulations and procedures prescribed pursuant thereto.

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